

Investment Governance Service

POWERED BY

**ARC**  
ARC RESEARCH LIMITED



# A compelling service

Developed in conjunction with Trustees, the Novia Global Investment Governance Service provides access to appropriately risk graded professionally managed funds and investment portfolios.

The service is underpinned by an electronic audit trail that clearly justifies the selection, retention or removal of a fund or portfolio from a client's account.

ARC Research Limited combine a detailed statistical analysis of performance and volatility with an assessment of investment preferences and sentiments in order to put forward a selection of relevant funds and model portfolios from our available panel.

Gathering feedback when working alongside Trustees across the globe, we have established a solution that assists with suitability and fiduciary responsibilities, maintaining governance and oversight.



Choice



Control



Governance

# The benefits

The service brings both operational and time saving efficiencies through an “end to end” process driven solution that aims to:

**Reduce** the risks associated with investment monitoring

**Save** you time, effort and cost

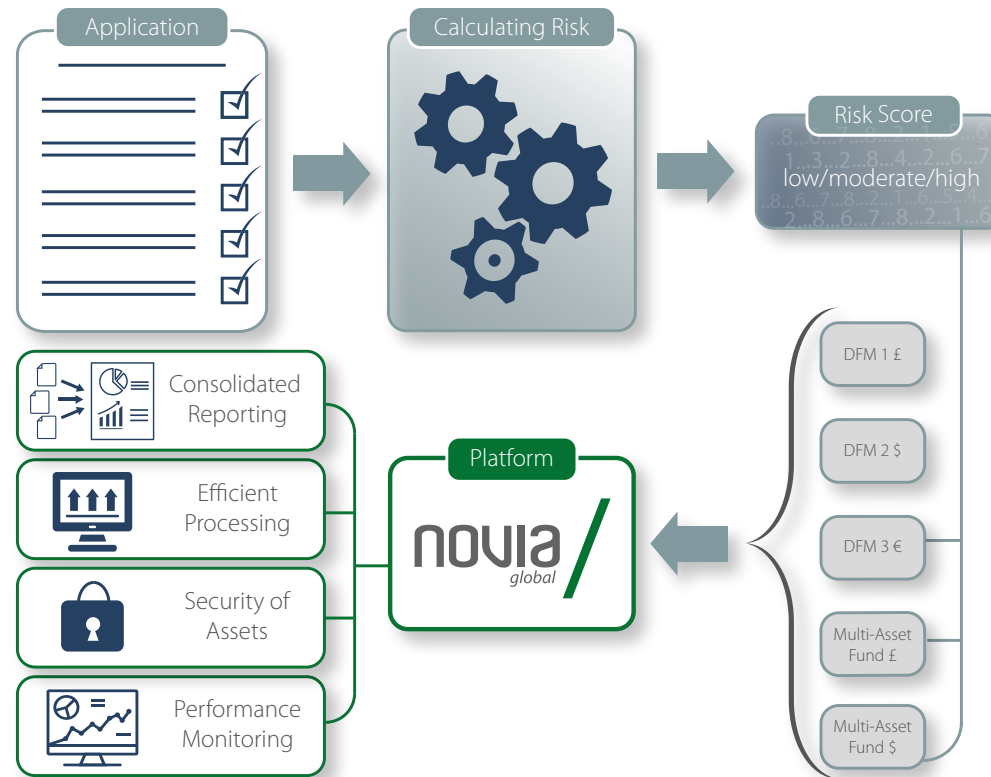
**Eradicate** the ‘paper chase’ by utilising a fully online, paperless application system

Key benefits include:

- / An online paperless application process and electronic online daily dealing
- / One platform, one report – consistent reporting across all investments, currencies and investment managers
- / Ability to run multiple strategies, differing house styles, in multiple currencies if required, under one account
- / A clear and repeatable audit trail; supporting Trustees with the investment selection process whilst assisting with the navigation of an ever changing regulatory landscape and investment environment
- / Cost effective; especially for portfolios that do not warrant a bespoke mandate

# Designed for you, by you

1. Establish a Novia Global Client Account online and submit anti-money laundering/due diligence documentation securely and electronically via the platform.
2. Client completes a "Risk Profiling & Manager Style" questionnaire to establish attitude to risk, capacity for loss and any relevant preferences pertaining to the investment.
3. The Investment Governance Service confirms which funds/portfolios from the available suite are appropriate for the identified risk score, using market leading analysis of performance data by ARC.



4. You decide which of the identified funds/portfolios to invest in and then action this through the platform with just a few clicks of the mouse.
5. Ongoing governance provided by way of quarterly reports confirming if chosen investments remain appropriate for the risk mandate, comparison of performance relative to other portfolios meeting the established risk profile and a traffic light system to flag performance and management issues.
6. All documentation saved and hosted electronically via ARC to ensure a clear audit trail is maintained.



Repeatable



Scalable



Process driven

## Keeping client assets secure

Novia Global administers client assets and money in keeping with the UK's Financial Conduct Authority (FCA) CASS regulations.

- / Custody of assets is held with BNP Paribas (Jersey); the largest safe custody bank in Europe
- / Novia Global is audited by external auditors, have stringent business continuity and disaster recovery policies plus in house cyber security monitoring and strong management processes and controls
- / MiFID II Compliant
- / Novia Global administers client money and assets in keeping with the FCA's CASS regulations
- / A fully visible, fee based solution that provides 'read only' online access to underlying clients and beneficiaries





## Experts in platform delivery

The team behind Novia Global have a longstanding pedigree in building and delivering platforms, with experience that stretches back over 20 years across 5 separate platform builds.

The Novia Global platform is a completely online service; it provides a fully transparent, innovative investment solution which has been developed around the fulfilment of Three Key Pillars; **reporting, security** and **efficiency**.

**Reporting** – monitor investments in ‘real time’; we offer a comprehensive, fully online automated monitoring, reporting & valuation service in partnership with Financial Express. This includes a suite of client reports including valuations, statements and bespoke performance reports.

**Security** – ensuring that client assets are managed safely and securely is at the heart of our proposition.

**Efficiency** – Novia Global utilises genuine straight through processing technology; including an online application process with no requirement for wet signatures or paper applications and daily digital trading.



## Experts in portfolio analysis



### ARC Research Limited

ARC Research Limited is part of the ARC Group of companies. ARC Group was established in 1995 and employs over 60 consultants, analysts, developers and support staff. Offices in London, Guernsey and Jersey service a global client base. ARC offers investment consulting and investment research services to trusted advisers, family offices, charities and professional trustees. ARC Research is the research arm of the ARC Group and is an affiliate of Asset Risk Consultants, devoted exclusively to independent research.

### Suggestus.com

Suggestus is ARC Research's investment research platform and provides a suite of tools to help professional advisers find the best solution for their clients. The site is designed to provide advisers with an intuitive, compliant and repeatable process for reviewing and selecting the most appropriate investment manager.

### Partnership not control

Investment consulting is fundamentally different to investment management in that we do not seek to control our client's assets. We have an extensive research capability designed to assist investors and their professional advisers in making informed decisions from investment manager selection, to monitoring and reviewing individual portfolios.

ARC Research Limited is a company registered in the Island of Guernsey (company number 39984), has its registered office at 7 New Street, St Peter Port, Guernsey GY1 2PF.

ARC Research Limited is a member of the ARC Group. For further information about the ARC Group please go to [www.assetrisk.com](http://www.assetrisk.com)





## Risks

Novia Global makes available a broad range of different investments. As with any money put into these investment vehicles there are risks. The value of these investments can fall as well as rise and you may get back less than your original investment. Changes in foreign exchange rates can adversely affect the value of the return on your investments. Charges taken by Novia Global, the managers of the underlying investment and those by your Professional Advisers will all have an impact on the value of your investment. Charges are made clear from the outset but may change in the future as circumstances may dictate.

Please refer to the Novia Global Key Features document and Terms and Conditions. For more information to make sure you understand our services and for any specific risks that may affect you, please speak to your Professional Adviser.

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